



This policy sets forth the expected behaviors of Altium Wealth in relation to the collection, use, retention, transfer, disclosure, and destruction of any Personal and Financial Data belonging to Altium Wealth or its clients.

Facts	What does Altium Wealth do with your personal and financial information?
Why?	Financial companies choose how they share your personal and financial information. Federal law gives consumers the right to limit some, but not all, sharing. Federal law also requires us to tell you how we collect, share and protect your personal and financial information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal and financial information we collect and share depends on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security number and income • Account balances and assets • Transaction history
How?	All financial companies need to share customers’ personal and financial information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal and financial information; the reasons Altium Wealth chooses to share; and whether you can limit this sharing.

Reasons we can share your personal and financial information	Does Altium Wealth share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not Applicable
For our affiliates everyday business purposes – information about your transactions and experiences	No	Not Applicable
For our affiliates everyday business purposes – information about your creditworthiness	No	Not Applicable
For our affiliates to market to you	No	Not Applicable
For nonaffiliates to market to you	No	Not Applicable

Questions?	Call (914) 777-2500, visit www.altiumwealth.com or email compliance@altiumwealth.com
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Who we are	
Who is providing this notice?	Altium Wealth

What we do	
How does Altium Wealth protect my personal and financial information?	<p>To protect your personal and financial information from unauthorized access and use, Altium Wealth uses security measures that comply with Federal law. These measures include information security and physical security safeguards based on NIST and NYDFS.</p> <p>Altium Wealth provides employee training and awareness around cyber security and data privacy to ensure Data protection, Integrity & Confidentiality.</p>
How does Altium Wealth collect my personal and financial information?	<p>Altium Wealth collects your personal and financial information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account • Deposit money • Seek advice about your investments • Enter into an investment advisory contract • Tell us about your investment or retirement portfolio or earnings. • Altium Wealth also collects your information from other companies.
Data Retention	As an SEC registered entity, Altium Wealth is required to keep all personal and financial data indefinitely.
Breach Reporting	Any individual who suspects a Data Breach has occurred due to the theft or exposure of data must immediately notify the compliance officer/Data Protection office providing a description of what occurred. Notification of a security incident can be reported to (914) 777-2500 or email at compliance@altiumwealth.com

Definitions	
Affiliates	<p>Companies related by common ownership and control. They can be financial and nonfinancial companies.</p> <p><i>Altium Wealth Management, LLC and Altium Planning, LLC share information.</i></p>
Nonaffiliates	<p>Companies not related by common ownership and control. They can be financial or nonfinancial companies.</p> <p><i>We do not share with nonaffiliates so that they can market to you.</i></p>
Joint Marketing	A formal agreement between nonaffiliated financial companies

If you have any questions regarding this Privacy Policy or your dealings with Altium Wealth, please contact us by email at compliance@altiumwealth.com using the subject title of "Privacy Policy", by phone at (914) 777-2500 (and mention that you have a Privacy Policy issue) or by mail to 2500 Westchester Avenue, Suite 210 Purchase, NY 10577 Attn: Compliance Officer.